pm



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67377

Nahoko TAKANO, et al.

Appln. No.: 09/989,662

Group Art Unit: 2643

Confirmation No.: 1776

Examiner: Tuan Pham

Filed: November 21, 2001

For:

MOBILE COMMUNICATION CONTROL METHOD AND SYSTEM AND MOBILE

STATION THEREOF

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. International Publication No. WO 99/13675, published March 18, 1999.
- 2. "Universal Mobile Telecommunications System (UMTS); Physical layer procedures (FDD); (3G TS 25.214 version 3.2.0 Release 1999); ETSI TS 125 214"; ETSI Standards, European Telecommunications Standards Institute, Sophia-Antipo, FR, vol. 3-R1, no. V320, March 2000 (2000-03); chapter 5.2.1.4.
- 3. Hamabe K et al.: "Forward-link Power Control Utilizing Neighboring-cell Pilot Power for DS-CDMA Cellular Systems"; Vehicular Technology Conference, 1997, IEEE 47th Phoenix, AZ, USA 4-7 May 1997, New York, NY, USA, IEEE, US, 4 May 1997 (1997-05-04), pages 939-943, section II.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/989,662

Atty. Docket No.: Q67377

One copy of each of the listed documents is submitted herewith, along with a copy of the

N 2

corresponding European Search Report, dated December 6, 2004, issued in the corresponding

European Patent Application.

The present Information Disclosure Statement is being filed after the later of three

months from the application's filing date and the mailing date of the first Office Action on the

merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

prosecution in the application (whichever is earlier), and therefore Applicant is filing

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p)

is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernsteh

Registration No. 25,665

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 3, 2005

2



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67377

Nahoko TAKANO, et al.

Appln. No.: 09/989,662

Group Art Unit: 2643

Confirmation No.: 1776

Examiner: Tuan Pham

Filed: November 21, 2001

For:

1

MOBILE COMMUNICATION CONTROL METHOD AND SYSTEM AND MOBILE

STATION THEREOF

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

washington office 23373

CUSTOMER NUMBER

Date: March 3, 2005

Howard L. Bernstein Registration No. 25,665

۵			IPE	△ 10 ,	MODIFIED PTO/SB/08 A & B (06-03	
Substitute for Form	1440 A & B/DTO		10 6	Complete if Known		
Substitute for Form 1449 A & B/PTO INFORMATION D STATEMENT BY			3 2005	Application Number	09/989,662	
INF	ORMATION I	DISCLOS	URBAR	Confirmation Number	1776	
STA	TEMENT BY	APPLIC	ARST &	Filing Date	November 21, 2001	
			TE TRADENS	First Named Inventor	Nahoko TAKANO	
(1	use as many sheets	as necessa	ry)	Art Unit	2643	
	<u> </u>			Examiner Name	Tuan Pham	
Sheet	1	of	1	Attorney Docket Number	Q67377	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document 1	Number	Publication Date MM-DD-YYYY			
		Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document		
		US					
		US					
		US					
		US					
		US					
		US					
		US					
		US					
		US					

FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	T	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		WO 99/13675		Al	03-18-1999	Qualcomm Incorporated	
							•
				,			
						1 11 11 11 11 11 11 11 11 11 11 11 11 1	

Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.					
		"Universal Mobile Telecommunications System (UMTS); Physical layer procedures (FDD); (3G TS 25.214 version 3.2.0 Release 1999); ETSI TS 125 214"; ETSI Standards, European Telecommunications Standards Institute, Sophia-Antipo, FR, vol. 3-R1, no. V320, March 2000 (2000-03); chapter 5.2.1.4.					
		Hamabe K et al.: "Forward-link Power Control Utilizing Neighboring-cell Pilot Power for DS-CDMA Cellular Systems"; Vehicular Technology Conference, 1997, IEEE 47 th Phoenix, AZ, USA 4-7 May 1997, New York, NY, USA, IEEE, US, 4 May 1997 (1997-05-04), pages 939-943, section II.					
	_						

··		T	
Examiner Signature	Date Considered		* * * * * * * * * * * * * * * * * * * *

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English.